

German-Ecuadorian Research Co-operation Programme on Biodiversity and Climate Change (2019-2021)

Objective and purpose

On behalf of the Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH (GIZ), the German Academic Exchange Service (DAAD) is supporting the "**German-Ecuadorian Research Co-operation Programme on Biodiversity and Climate Change**". Over a term of 18 months, the German-Ecuadorian research co-operation in the fields of biodiversity and climate change will be intensified as part of the DAAD-GIZ co-operation and the conditions for the application of the results of bilateral research projects will be improved. The central implementing partner in Ecuador is the Ecuadorian Ministry of the Environment MAE with its *National Institute of Biodiversity* (INABIO).

German and Ecuadorian partners should be enabled to carry out joint research at a high level and to develop application-oriented results. The co-operation projects are carried out jointly by at least one German and at least one Ecuadorian university or research institution. The formation of consortia is possible. DAAD and GIZ plan a matchmaking event to initiate contacts with the potential research partners; during the course of the funding network events and symposia will be held in Germany and Ecuador.

At the **impact level**, the programme will contribute to the creation of practice-relevant research results within the framework of the national biodiversity and climate change strategy as well as the national agenda on biodiversity research and thus to the preservation of biodiversity in accordance with the Nagoya Protocol. In order to achieve this, the prerequisites for research-based innovations in the fields of biodiversity and climate change are to be improved, and the co-operation structures between the participating universities and institutions must be deepened. At the same time, the programme should help German universities to become active partners in development cooperation.

In order to contribute to these long-term effects (impacts), the programme pursues **four programme objectives (outcomes)**:

Programme objective/outcome 1: Research results are applicable in Germany and Ecuador and are used.

Programme objective/outcome 2: The performance of the participating science-related institutes and universities is improved.

Programme objective/outcome 3: International and national networking of the co-operation partners is strengthened among themselves and with other actors (research institutes, Ecuadorian authorities).

Programme objective/outcome 4: German universities have acquired expertise in development co-operation.

On the basis of the proposed programme objectives, the following outputs are to be used within the framework of the project, lasting **a maximum of 18 months** between universities in Germany and Ecuador:

- Research collaborations between universities and research institutes of both countries on marine, coastal and mountain ecosystems have been established. *Indicator: Number of established research collaborations (since funding began)*
- Practice-relevant/application-related research projects are carried out. *Indicator: Number of practice-related research projects jointly carried out within the framework of university cooperation (in the reporting year)*

- As part of research collaborations and/or activities, research projects of Ecuadorian students (Masters/PhD) and/or scientists (postdocs) have been carried out. *Indicator: number of research projects carried out in the context of university co-operation (in the year under review)*
- Contacts between the participating universities and institutions (research institutes) are expanded and consolidated. *Indicator: Number of newly acquired active partners who design, manage and/or carry out activities in the participating universities (in the reporting year)*

The programme is supported by the DAAD and, in coordination with GIZ, by means of monitoring based on impact-oriented indicators.

The beneficiary must align its annual reporting with the needs of that monitoring and respond to the programme and project indicators listed in Annex 2.

Eligible measures

- Participation in/execution of events, workshops, summer/winter schools
- Scientist exchanges, study and research stays (e.g. in the context of master's theses/doctorates)
- Planning and implementation of kick-off and research-into-use workshops
- Implementation of research projects
- Stays and mobility
- Laboratory equipment

Eligible expenses

Expenditure which is necessary and appropriate for the implementation of the project (implementation of the measures) is classed as eligible. This includes in particular:

Personnel for project implementation and support

- Personnel in Germany
 - Research Employees up to max. 0.5 EC 13
 - Research assistant
 - Stud. assistant
 - Other personnel
- Personnel abroad
 - Research Employees up to max. 0.5 customary full-time equivalent
 - Research assistant
 - Stud. assistant
 - Other personnel

Personnel expenses include the AG gross salary. Annual special payments are only eligible for the approval period and only insofar as the payment date is included in this.

Equipment

- Fees
for guest speakers, conference leaders, moderators, interpreters for translation, temporary use of assistants and/or tutors
- Mobility of project personnel

Data on turnout at domestic electoral districts

Expenses for travel and flight are to be asserted in accordance with the Federal Travel Expenses Act (BRKG).

Abroad

Expenses for travel and flight can be claimed for staff of the Ecuadorian University according to the principles of efficiency and economy.

- Residence of project personnel

Data on turnout at domestic electoral districts

Expenses for stays (accommodation and daily allowance) are to be claimed according to BRKG.

Abroad

Expenditure on stays (accommodation and meals) of staff of the Ecuadorian University of Applied Sciences in Germany must be made in accordance with the principles of efficiency and economy.

- Equipment in Germany and abroad
 - Consumer goods
(*Chemicals, paper etc.*)
 - Business assets
(*Computer, beamer, items for laboratories etc.*)
 - Space rental
(*Rent for meeting rooms, rent for office space, etc.*)
 - Printing, publications, advertising and public relations
(*flyers, brochures, posters, scientific publications, etc.*)
 - External services
(*Companies that are commissioned to provide services and procurement, e.g., catering, bus companies, repairs, IT support, etc.*)
 - Other
(*Teaching materials, conference fees, insurance expenses, etc.*)

Supported persons▪ **Mobility of supported persons**Ecuador-> Germany-> Ecuador

For scholars (not PV) and graduates of the Ecuadorian side for study and research purposes at the German partner university as well as for events or workshops, the beneficiary can apply for and claim a **mobility allowance of 2,150 euros**.

Necessary health insurance expenses are covered by the mobility allowance.

Note: Foreign guests should be urgently advised of the need for adequate insurance cover. The German university can book a more affordable group insurance through the DAAD.

Germany->Ecuador->Germany

- For **graduates and doctoral students from German side**, who are not employees of the beneficiary, for study and research purposes at the Ecuadorian partner university as well as for events or workshops, the beneficiary can apply for and claim a mobility allowance in the amount of **2,150 euros**.
- For **scientists from the German side**, who are not employees of the beneficiary, for study and research purposes at the Ecuadorian partner university as well as for events or workshops, the beneficiary may spend on mobility according to the principles of efficiency and economy upon presentation of the (individual) vouchers.

Within Germany or within Ecuador

Expenditure on mobility can be claimed for all those promoted in accordance with the principles of efficiency and economy upon presentation of the (individual) supporting documents.

▪ **Stay of supported persons**

For stays of **Ecuadorian scholars in Germany** for study and research purposes at the German partner university as well as for events or workshops, the following flat-rate daily rates or monthly rates can be claimed:

Status	Daily rate in euros Stays up to 22 days	Monthly rate in Euro for stays from 23 days	Daily rate in Euros for stays not completed following month
Graduates	38	850	28
PhD Students	54	1,200	40
Post-doctoral re- searchers	89	2,000	66
Experienced people Academics and sci- entists	96	2,150	71
Professors (see German university professors)	103	2,300	76

For stays of **German graduates and doctoral students in Ecuador** for study and research purposes at the Ecuadorian partner university as well as for events or workshops, the following flat-rate daily rates or monthly rates can be claimed:

Status	Daily rate in euros Stays up to 22 days	Monthly rate in Euro for stays from 23 days	Daily rate in Euros for stays not completed following month
Graduates	46	1,025	34
PhD Students	70	1,575	52

For stays of **German scientists in Ecuador** for study and research purposes at the Ecuadorian partner university, as well as for events or workshops, expenses for stays according to the principles of efficiency and economy can be claimed upon presentation of the (individual) vouchers.

Funding type

The funding is provided by way of austerity funding.

Funding period

The funding period starts on **1st October, 2019** and ends on **31st March, 2021**.

Funding amount

The **maximum amount of the DAAD** grant for a partnership for the entire funding period of 18 months is **250,000.00** Euros.

Discipline(s)

Natural sciences

Target group

- German and Ecuadorian professors, scientists, university administrators of the beneficiary
- German and Ecuadorian graduates, doctoral candidates, post-graduates

Eligible applicants

Applications may be submitted to state-recognised German universities, which

- have specialist skills in the areas of marine, coastal and mountain economics

- and experience in the implementation of application-oriented research projects, as well as
- international cooperation experiences and - if possible - an existing relevant (international) network in biodiversity and climate change, which goes beyond the academic field and also involves co-operation with the public and/or private sector.

Application

Interested **German and Ecuadorian universities** can submit an **expression of interest** (upstream of the application) of a maximum of 10 pages by 6th May 2019 by email to the DAAD, Ms Anja Munzig "munzig@daad.de". It should focus on the collaborative interest and measures, as well as on the university's experience in biodiversity and climate change, especially in the marine, coastal and mountain ecosystems sectors.

From 24th to 26th June, 2019 a **matchmaking workshop** will be held in Quito with the Ecuadorian co-operation partners of the DAAD (Ministry of the Environment of Ecuador MAE, National Institute of Biodiversity INABIO), to which the Ecuadorian and German university representatives (university professors and scientists) will be invited with positive evaluations of interest. (see **Annex 3** for participation in the matchmaking workshop).

The project funding application must be submitted in **full** and **timely** fashion via the DAAD online portal (<https://portal.daad.de/irj/portal>).

Application requirements

Selection-relevant application documents for the application

- Project application (via the DAAD portal)
- Financing plan (via the DAAD portal)
- Calculation/estimate of any income/expenditure for which evidence may not be needed (attachment type: supplementary financial information)
- Project description (short version) in English (see **Annex 1**) (attachment type: Project description). The project objectives listed in the project description must contribute to each of the four programme objectives formulated under "Objectives and Purpose".
- Detailed project description in English (max. 10 pages) (attachment type: Project description)
 - of the project (presentation of the measures, presentation of the functionality and contents, use of material and personnel resources, etc.) (Please note, inter alia, **Annex 5**)
 - the initial situation, the issue and the justification for this based on development policy
 - the project objectives: a brief description of the intended direct effects (outcomes) of the project
 - how the project contributes to the objectives of the programme
 - a gender equality concept. Attention: At least one third of all natural persons involved in the project must be women.
 - of the cooperation network
 - the involvement of the private sector
- Project planning overview in English in accordance with **Annex 2** (Attachment type: programme-specific attachments)
- Appointment of the potential Ecuadorian partner university/universities and a justification of why it has a particular professional aptitude to carry out the common measures in English (attachment type: programme-specific attachments)
- Approval of an application by the German university management in English **Annex 6** (attachment type: programme-specific attachment)
- Written notice that the Spanish language is controlled by natural persons at scientific level by all those involved in the project (attachment type: programme-specific attachment)

The **application documents listed above are compulsory and must be submitted by the deadline**. The documents are to be named and numbered as indicated in this specification.

Once the deadline passes, no documents will be requested by the DAAD and no amendments will be considered, even if they concern the financing plan.

Application documents relevant to the contract

- Letter of Intent (or similar agreement) between the German and the Ecuadorian universities in English (attachment type: contracts/agreements)
- Approval of co-operation of the Ecuadorian university management in English (attachment type: programme-specific attachment)

If documents relevant to the contract are not available by the application deadline, they must be submitted to the DAAD, upon request by the DAAD (when funding is granted), by the conclusion of the contract at the latest.

Attention: Incomplete applications are excluded from the selection procedure.

Application deadline

The application deadline is **5th August 2019**.

Selection process

The applications for project funding are decided by a DAAD-appointed selection committee, which is composed of external specialists.

Objectives:

- **Project quality**
 - Technical content quality
 - Professional aptitude of the applicant and the partner university/s
 - Previous experience of the project manager with projects in or target groups from developing countries
 - Experience of the project manager in the management of international projects
 - Appropriateness of the project objectives (reference to the impact structure of the programme and to the impact-oriented project planning, **Annex 4**)
 - Feasibility of the project objectives with the planned measures within the planned time
- **Relevance to development policy**
 - Consideration of the principles of development cooperation: planning, design and implementation that are geared to the needs of the partner university/universities and are a collaborative effort
 - Contribution of the project to the development of the partner institution and/or the partner country in general
- **Balance of co-operation measures**
 - Coordination and cooperation of the co-operation partners involved
- **Sustainability**
 - Networking with other universities and stakeholders in the region
 - Creation of sustainable co-operation structures

Contact person

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Annexes

1. Project description (short version)
2. Project planning overview
3. Conditions of participation in the matchmaking workshop
4. Research topics
5. Guide to results-based monitoring
6. Advocacy of the university management (English)

Funded by:



Bundesministerium für
wirtschaftliche Zusammenarbeit
und Entwicklung