FUNDING FRAMEWORK

East-West Dialogue. Academic exchange and research cooperation for security, collaboration and civil society development in Europe 2023

The German Academic Exchange Service (Deutscher Akademischer Austauschdienst - DAAD) is distributing funds provided by the German Foreign Office (Auswärtiges Amt – AA) for the programme ‘East-West Dialogue. Academic exchange and scientific cooperation for security, collaboration and civil society development in Europe’.

This programme funds scientific dialogue, academic exchange and network building by means of activities such as events, study trips, summer schools and the award of scholarships.

It can enable partnerships with higher education institutions in the following countries and regions: Ukraine, Republic of Moldova and states in the regions of the South Caucasus and Central Asia (Armenia, Azerbaijan, Georgia, Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan).

Programme objective 1: Academic dialogue and communication takes place in the context of conflict research and prevention, or regarding topics such as democratisation processes, rule of law, good governance, protection of minorities and civil society development in the partner countries.

Programme objective 2: Networking and exchange have been established among German and foreign higher education institutions, students and young academics.

Programme objective 3: Cross-border academic exchange and discipline-specific collaboration are occurring in the respective region.

Programme objective 4: Civil-society protagonists are involved.

Programme objective 5: Subject-specific findings are made available to the public.

The programme is contributing to the establishment of formats for dialogue among higher education protagonists in the target regions. The funding programme therefore contributes to strengthening of civil society, the development of democracy and a reduction in ethnic conflicts in these regions.

Environmental sustainability
The DAAD has set itself the target of further reducing its environmental footprint as an organisation and funding provider. In the context of project funding, efforts should be made to plan and realise all projects in a way that preserves resources and protects the climate and the environment. This particularly applies to mobility/travel. Depending on the type and scope of the project, it may also affect procurement and contract tendering, event management, or marketing and public relations work. (Information about this aspect is provided voluntarily and is not relevant to the selection process.)

Measures and activities eligible for funding include

- The implementation of events (including workshops, conventions, seminars, conferences, but excluding specialist conferences)
- **Research and study trips** (generally lasting up to 12 days)
- The implementation of **summer schools** (generally lasting up to 14 days)
- The award of up to 3 **scholarships** (lasting from 1 month up to 3 months) relating to a stay **in Germany** for
  - **Study purposes** (students and graduates)
  - **Research purposes** (doctoral candidates, postdocs, experienced academics and researchers, professors from higher education institutions)
- The award of up to 3 **scholarships** (lasting from 1 month up to 3 months) relating to a stay **in the partner country** for
  - **Study purposes** (students and graduates)
  - **Research purposes** (doctoral candidates, postdocs, experienced academics and researchers, professors from higher education institutions)

**Note:**
Scholarships may only be awarded if other activities are also being implemented as part of the project.

All expenditure that is necessary for project implementation (i.e. realisation of the activities) is eligible for funding. This includes in particular:

- **Personnel resources for project implementation and support**
  - academic research associates
  - academic assistants
  - student assistants
  - other personnel
Personnel expenditure comprises the gross salary paid by the employer. Annual bonus payments are only eligible for funding during the approval period and only insofar as the payment date is within this period.

If there are difficulties in employing student assistants to undertake project-related administrative activities, applications can instead be submitted for expenditure on TV-L employees (up to salary group E8) if required.

**Note:** Expenditure relating to staff at a higher education institution in the partner country can only be submitted and claimed in the context of forwarding.

**Material resources**

**FEES** (not for internal staff) for external lecturers (including senior experts and trainers) providing lectures, workshops, etc., including preparation and follow-up work (up to EUR 40 per hour; EUR 250 per day)

Applications for expenditure on travel, flight and accommodation relating to external lecturers can be submitted and claimed in addition to the fee, in line with the principles of economic efficiency and frugality.

**TRAVEL EXPENSES FOR PROJECT PERSONNEL**

Applications for expenditure on travel and flights can be submitted and claimed according to the applicable laws (BRKG/LRKG).

Applications for expenditure on mobility within the partner country or third countries can be submitted and claimed in line with the principles of economic efficiency and frugality.

**STAYS BY PROJECT STAFF**

Expenditure relating to the stay (accommodation and meals) can be submitted and claimed according to BRKG/LRKG.

**EQUIPMENT FUNDING IN GERMANY/ABROAD**

- Consumables (such as office supplies for workshops, conferences, events)
- Non-consumables (such as rent for computers, software, projectors)
- Rental (such as rent for conference rooms and conference technology)
- Printing/publications/advertising and public relations (including printing and copying expenditure, flyers, brochures, posters, academic publications)
- External services (such as catering, coach travel, IT services)
- Other expenditure (including expenditure for field trips, project-related communication, teaching materials, licences)

**Funded individuals**

**TRAVEL EXPENSES FOR FUNDED INDIVIDUALS**
Travel between Germany ↔ partner countries

- Mobility scholarships in the context of study stays (see Table 1)
- Mobility scholarships in the context of research stays by doctoral candidates from Germany and from the partner country as well as postdocs, experienced academics and researchers, and professors at higher education institutions from the partner country (see Table 1)

The mobility scholarship should be specified in the scholarship agreement.

- Mobility allowances in the context of study and research travel, for participation at events and summer schools, and for mobility of staff from the partner higher education institution

  - Applications for a mobility allowance pursuant to Table 1 relating to travel/flights (Germany ↔ partner country) can be submitted and claimed.

The mobility allowance begins on first day of the trip and must be evidenced based on a participant list signed by the participants. The mobility allowance covers all costs in the context of the trip (including travel and flights as well as expenditure relating to visas, vaccinations, excess luggage, luggage insurance, and so on).

<table>
<thead>
<tr>
<th>Partner country</th>
<th>Academics from Germany holding a PhD (in EUR)</th>
<th>Students/graduates, doctoral candidates from Germany and the partner country as well as researchers from the partner country (in EUR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Armenia</td>
<td>875</td>
<td>725</td>
</tr>
<tr>
<td>Azerbaijan</td>
<td>800</td>
<td>650</td>
</tr>
<tr>
<td>Belarus</td>
<td>-</td>
<td>450</td>
</tr>
<tr>
<td>Georgia</td>
<td>825</td>
<td>675</td>
</tr>
<tr>
<td>Kazakhstan</td>
<td>925</td>
<td>750</td>
</tr>
<tr>
<td>Kyrgyzstan</td>
<td>900</td>
<td>725</td>
</tr>
<tr>
<td>Republic of Moldova</td>
<td>475</td>
<td>400</td>
</tr>
<tr>
<td>Russian Federation (European part)</td>
<td>-</td>
<td>525</td>
</tr>
<tr>
<td>Russian Federation (Asian part)</td>
<td>-</td>
<td>775</td>
</tr>
<tr>
<td>Tajikistan</td>
<td>1,500</td>
<td>1,225</td>
</tr>
<tr>
<td>Turkmenistan</td>
<td>1,250</td>
<td>1,025</td>
</tr>
<tr>
<td>Ukraine</td>
<td>425</td>
<td>350</td>
</tr>
<tr>
<td>Uzbekistan</td>
<td>1,100</td>
<td>875</td>
</tr>
</tbody>
</table>
Mobility within Germany or the partner country, or between partner countries  
Expenditure can be submitted and claimed in line with the basic principles of economic efficiency and frugality.

STAYS OF FUNDED INDIVIDUALS

- **Residence scholarships**  
in the context of **study and research purposes in Germany**  
(see Table 2)

<table>
<thead>
<tr>
<th>Status</th>
<th>Monthly rate (EUR)</th>
<th>Daily rate in subsequent month (EUR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Students/graduates</td>
<td>861</td>
<td>28</td>
</tr>
<tr>
<td>Doctoral candidates and individuals holding a PhD</td>
<td>1,200</td>
<td>40</td>
</tr>
<tr>
<td>Postdocs</td>
<td>2,500</td>
<td>83</td>
</tr>
<tr>
<td>Experienced researchers</td>
<td>3,000</td>
<td>100</td>
</tr>
<tr>
<td>Professors at HEIs</td>
<td>3,600</td>
<td>120</td>
</tr>
</tbody>
</table>

- **Residence scholarships**  
in the context of **study and research purposes in the partner country**  
(see Table 3)

<table>
<thead>
<tr>
<th>Partner country</th>
<th>Monthly rate Students/graduates (EUR)</th>
<th>Daily rate in subsequent month (EUR)</th>
<th>Monthly rate Doctoral candidates (EUR)</th>
<th>Daily rate in subsequent month (EUR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Armenia, Azerbaijan, Georgia, Kazakhstan, Kyrgyzstan, Republic of Moldova, Tajikistan, Turkmenistan, Ukraine, Uzbekistan</td>
<td>1,150</td>
<td>38</td>
<td>1,600</td>
<td>53</td>
</tr>
</tbody>
</table>

The residence scholarship should be specified in the scholarship agreement.
• **Expenditure relating to a stay to participate in events, summer schools and study and research trips** can be submitted and claimed in line with the basic principles of economic efficiency and frugality.

**FORWARDING**

Forwarding of the project funding grant (fully or in part) is possible, if it is necessary for realising the grant purpose.

The expenditure items that are to be forwarded must be marked accordingly in the financing plan that is part of the application for project funding submitted to the DAAD.

If the specific details of forwarding are not known yet at the time the application for project funding is submitted (before a contract is concluded), expenditure that may be forwarded can be designated as the institution's own expenditure in the financing plan for the time being. In the event that specific plans to forward funding emerge after the contract has been closed, the grant recipient must seek approval from the DAAD by means of an amendment agreement (adjustment of the project description and financing plan).

Any forwarding of funds must take place based on a forwarding agreement.

Evidence of use from the forwarding recipient must be submitted to the DAAD along with the related audit certificate.

**TYPE OF FINANCING**

Funding takes the form of full financing.

**FUNDING PERIOD**

The funding period begins at the earliest on 1 January 2023 and ends at the latest on 31 December 2023.

**GRANT AMOUNT**

A grant of up to EUR 40,000 can be applied for. Applications relating to additional residence scholarships correspondingly increase the grant.

**FIELDS OF STUDY**

The programme is open to all fields of study.
TARGET GROUP
Those undertaking a bachelor’s degree course or master’s degree course, doctoral candidates, postdocs, experienced academics and researchers, professors from higher education institutions.

ELIGIBLE APPLICANTS
Eligible applicants are officially recognised German higher education state institutions and/or non-university research institutions based in Germany which are recognised as non-profit organisations and conduct their own research.

SUBMISSION OF AN APPLICATION
Note: Due to Russia’s attack on Ukraine, the DAAD has suspended any institution-based forms of cooperation with partners in the Russian Federation and Belarus for the time being. Against this background, no applications are possible for project funding with partner institutions in the Russian Federation and Belarus.

The application for project funding may only be submitted in complete form and before the deadline via the DAAD portal (www.mydaad.de).

• Project application (in the DAAD portal)
• Financing plan (in the DAAD portal)
• Project description, see form template (attachment type: project description)
• Evidence of communication with important partners (e.g. partner institutions from the region, DAAD Information Centres, German Embassy) (attachment type: Programme-specific attachments)

No changes to the financing plan, project description or any amended documents or documents submitted later are accepted after the application deadline. Incomplete applications will be excluded from the selection procedure.

APPLICATION DEADLINE
The application deadline is 1 September 2022.

SELECTION PROCEDURE
Selection of applications for project funding
An expert assessment of the applications will be carried out by a selection committee. This assessment serves as a decision-making basis for the DAAD.

SELECTION CRITERIA
(1) Plausibility of the project application
   › The project objectives are in line with the programme objectives
   › Attribution of activities to the project objectives and scheduling
   › Necessity of expenditure for realising the activities
(2) Involvement of students and young academics in the activities
(3) Scientific quality of the project and theoretical background, innovative approaches and own preliminary work if applicable
(4) Qualifications of the higher education teaching staff and lecturers involved in the activity (activities) applied for
(5) Scope and relevance of the expected results
(6) Involvement of civil-society actors in the target region
(7) Public communication of academic findings

Selection procedure for scholarships

A selection committee appointed by the grant recipient will decide upon the scholarship applications.

The selection procedure must be described in the project description.

• Public announcement of the scholarship offer
• Composition of the selection committee and selection of committee members
• Selection criteria (e.g. selection of the best candidates, subject-specific or personal aptitude)
• Award of the scholarship by scholarship agreement (such as a ‘Scholarship Award Letter’ and ‘Declaration of Acceptance’)  
  › Delivery of a scholarship certificate (here: naming the DAAD, the funding bodies, specific description of the scholarship services and their amount, including residence and/or mobility scholarship)

1. Project description
2. Substantive report

Mobility information for those with a disability or chronic illness

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