



## FUNDING FRAMEWORK

# East-West Dialogue. Academic exchange and re- search cooperation for security, collaboration and civil society development in Europe 2024

## PROGRAMME OBJECTIVES

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The German Academic Exchange Service (Deutscher Akademischer Austauschdienst - DAAD) is distributing funds provided by the German Foreign Office (Auswärtiges Amt – AA) for the programme ‘East-West Dialogue. Academic exchange and scientific cooperation for security, collaboration and civil society development in Europe’.

Funding is available for scientific dialogue, academic exchange and networking among higher education institutions in Ukraine, the Republic of Moldova, the states of the South Caucasus and Central Asia and Germany.

Partnerships can be formed with higher education institutions in Ukraine and in the Republic of Moldova, as well as in the states of the South Caucasus region and Central Asia (Armenia, Azerbaijan, Georgia, Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan).

The programme objectives are:

- 1: Academic findings regarding issues such as conflict research and prevention, democratisation processes, rule of law, good governance, protection of minorities and civil society development in relation to the partner countries have been generated and/or taught.
- 2: Cross-border academic dialogue and/or networks among the cooperation partners have been established with involvement of junior scientists and/or students.
- 3: Civil-society protagonists are involved.
- 4: In the context of academic communication, academic findings have been made available to the public beyond the area of higher education.

The programme is making a long-term contribution to strengthening the civil society, to the development of democracy and to a reduction of ethical conflicts in the listed regions.

### Environmental sustainability

The DAAD has set itself the target of further reducing its environmental footprint as an organisation and funding provider. In the context of project funding, efforts should be made to plan and realise all projects in a way that preserves resources and protects the climate and the environment. This particularly applies to mobility/travel. Depending on the type and scope of the project, it may also affect procurement and contract tendering, event management, or marketing and public relations work. (Information about this aspect is provided voluntarily and is not relevant to the selection process.)

## MEASURES/ACTIVITIES ELIGIBLE FOR FUNDING

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Measures/activities eligible for funding include

- Implementation of **events**: e.g. workshops, conventions, seminars, study trips, summer schools or conferences in the partner countries and/or Germany (usually lasting up to 14 days) for participants from the area of higher education and the civil society
- Awarding of **up to 3 scholarships** (lasting from 1 month up to 3 months) relating to a stay **in Germany** for
  - › **study purposes** (students and graduates)
  - › **research purposes** (doctoral candidates, postdocs, experienced academics and researchers, professors from higher education institutions)
- Awarding of up to **3 scholarships** (lasting from 1 month up to 3 months) relating to a stay **in the partner country** for
  - › **study purposes** (students and graduates)
  - › **research purposes** (doctoral candidates, postdocs, experienced academics and researchers, professors from higher education institutions)

Note:

Scholarships can only be applied for in connection to the topic of the event.

## EXPENDITURE ELIGIBLE FOR FUNDING

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All expenditure that is necessary for project implementation (i.e. realisation of the activities) is eligible for funding. This includes in particular:

### Personnel resources for project implementation and support

- scientific research assistants (in justified exceptional cases only)
- academic assistants
- student assistants
- other personnel

Personnel expenditure comprises the gross salary paid by the employer. Annual bonus payments are only eligible for funding during the approval period and only insofar as the payment date is within this period.

If there are difficulties in employing student assistants to undertake project-related administrative activities, applications can instead be submitted for funding for expenditure on TV-L employees (up to salary group E8).

Note:

Expenditure relating to staff at a higher education institution in the partner country can only be submitted and claimed in exceptional cases and only in the context of forwarding.

### Material resources

### FEES (not for internal staff)

- In justified cases for external lecturers, senior desk officers and experts (usually from Germany and/or the partner countries) for lectures, workshops incl. preparations and follow-up work at up to EUR 40 (gross) per hour or EUR 250 (gross) per day
- For auxiliary work (e.g. for events, conferences, workshops)
- For external services (e.g. interpreters, webmasters)

Expenditure for travel, flights and accommodation can be submitted and claimed in addition to the fee, in line with the principles of economic efficiency and frugality.

### TRAVEL EXPENSES FOR PROJECT PERSONNEL

Expenses for travel/flights can be submitted and claimed according to the applicable laws (BRKG/LRKG).

### STAYS BY PROJECT STAFF

Expenditure relating to the stay (accommodation and meals) can be submitted and claimed according to BRKG/ LRKG.

### EQUIPMENT FUNDING IN GERMANY/ABROAD

- Consumables (e.g. paper, pens, office supplies)
- Assets (e.g. teaching materials, software, licences)
- Room rent (rent for conference rooms and conference technology)
- Printing/publications/advertising and public relations (including printing and copying expenditure, flyers, brochures, posters, academic publications)
- External services (e.g. catering, coach travel, IT services)
- Other expenditure (e.g. expenditure for field trips, communication)

### Funded individuals

#### TRAVEL EXPENSES FOR FUNDED INDIVIDUALS

- **Mobility allowance**
  - › A mobility allowance (see **Table 1**) for travel/flights (Germany ↔ partner country) can be applied for and claimed.
  - › This mobility allowance arises with the first day of the trip and must be evidenced by means of a participant list signed by the participants. The mobility allowance covers all costs in the context of the trip (including travel and flights as well as expenditure relating to visas, vaccinations, excess luggage, luggage insurance, and so on).
- **Mobility scholarships**
  - › For students/graduates, doctoral candidates, postdocs, researchers and higher education teaching staff of the partner institutions **in the context of study, research and teaching stays** (see **Table 1**)
  - › The mobility scholarship should be specified in the scholarship agreement.

**Table 1:**

Mobility scholarship / mobility allowance		
Partner country	Academics <b>from Germany</b> holding a PhD (EUR)	Students/graduates, doctoral candidates <b>from Germany and the partner country</b> as well as researchers <b>from the partner country</b> (EUR)
Armenia	875	725
Azerbaijan	800	650
Georgia	825	675
Kazakhstan	925	750
Kyrgyzstan	900	725
Republic of Moldova	475	400
Tajikistan	1,500	1,225
Turkmenistan	1,250	1,025
Ukraine	425	350
Uzbekistan	1,100	875

- Expenditure for travel/flights within **Germany or a partner country or between different partner countries** can be submitted and claimed in line with the principles of economic efficiency and frugality.

#### STAYS OF FUNDED INDIVIDUALS

- Expenditure for stays (accommodation and meals) **in Germany and/or the partner country** can be submitted and claimed in line with the basic principles of economic efficiency and frugality.
- **Residence scholarships**
  - › In the context of **study and research purposes in Germany** (see **Table 2**)

**Table 2:**

Status	Monthly rate (EUR)	Daily rate in subsequent month (EUR)
Students/graduates	934	31
Doctoral candidates and individuals holding a PhD	1,200	40
Postdocs	2,000	89
Experienced researchers	2,150	96
Professors at HEIs	2,300	103

- › In the context of **study and research purposes in the partner country** (see **Table 3**)

**Table 3:**

Partner country	Monthly rate Students/ graduates (EUR)	Daily rate in subse- quent month (EUR)	Monthly rate Doctoral can- didates/post- docs/re- searchers (EUR)	Daily rate in subse- quent month (EUR)
Armenia, Azerbaijan, Georgia, Kazakhstan, Kyrgyzstan, Republic of Moldova, Tajikistan, Turkmenistan, Ukraine, Uzbekistan	1,225	41	1,700	57

- › The residence scholarship should be specified in the scholarship agreement.

## FORWARDING

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Forwarding of the project funding grant (fully or in part) is possible, if it is necessary for realising the grant purpose.

The expenditure items that are to be forwarded must be marked accordingly in the financing plan that is part of the application for project funding submitted to the DAAD.

If the specific details of forwarding are not known yet at the time the application for project funding is submitted (before a contract is concluded), expenditure that may be forwarded can be designated as the institution's own expenditure in the financing plan for the time being. In the event that specific plans to forward funding emerge after the contract has been closed, the grant recipient must seek approval from the DAAD by means of an amendment agreement (adjustment of the project description and financing plan).

Any forwarding of funds must take place based on a forwarding agreement.

Evidence of use from the forwarding recipient must be submitted to the DAAD along with the related audit certificate.

## TYPE OF FINANCING

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Funding takes the form of full financing.

- FUNDING PERIOD** 6 The funding period begins at the earliest on 1. January 2024 and ends at the latest on 31 December 2024.
- GRANT AMOUNT** 7 A grant of up to EUR 40,000 can be applied for. Applications relating to residence scholarships correspondingly increase the grant.
- DISCIPLINES** 8 The programme is open to all fields of study.
- TARGET GROUP** 9 Bachelor's students, master's students, doctoral candidates, postdocs, habilitation candidates, researchers, professors
- ELIGIBLE APPLICANTS** 10 Eligible applicants are officially recognised German higher education state institutions and/or non-university research institutions based in Germany which are recognised as non-profit organisations and conduct their own research.
- SUBMISSION OF AN APPLICATION** 11 The application for project funding may only be submitted in complete form and before the deadline via the DAAD portal ([www.mydaad.de](http://www.mydaad.de)).
- Project application (in the DAAD portal)
  - Financing plan (in the DAAD portal)
  - Project description, see **form template** (attachment type: project description)
  - In the case of forwarding: the project description and financing plan of the forwarding recipient(s) (if known at submission of the application, otherwise to be submitted as soon as known) (attachment type: supplementary financial information)
  - Evidence of communication with important partners (e.g. partner institutions from the region, DAAD Information Centres, German Embassy) (attachment type: programme-specific attachments)
  - Endorsement by the university management (see **form template**) or explanation in the case of delayed submission before conclusion of the contract (attachment type: programme-specific attachments)
- No changes to the financing plan, project description or any amended documents or documents submitted later are accepted after the application deadline. Incomplete applications will be excluded from the selection procedure.

In deviation from this rule, approval by the German university management may be submitted later on up to the point a contract is concluded, however, this is an exception and must be explained in the application that must be submitted before the deadline.

## APPLICATION DEADLINE

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The application deadline is **4 September 2023**.

## SELECTION PROCEDURE

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### Selection of applications for project funding

An expert assessment of the applications will be carried out by a selection committee. This assessment serves as a decision-making basis for the DAAD.

#### SELECTION CRITERIA

- (1) The project's relevance to the programme objectives and assignment of measures to the project objectives
- (2) Involvement of students and young academics in the activities
- (3) Scientific quality of the project and theoretical background (including qualifications of the individuals involved), innovative approaches and own preliminary work if applicable
- (4) Scope and relevance of the expected results
- (5) Involvement of civil-society actors in the target region
- (6) Public communication of academic findings

## SCHOLARSHIP SELECTION PROCEDURE

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### Selection procedure for scholarships

A selection committee appointed by the grant recipient will decide upon the scholarship applications.

The selection procedure must be described in the project description.

- Public announcement of the scholarship offer
- Composition of the selection committee and selection of committee members
- Selection criteria (e.g. selection of the best candidates, subject-specific or personal aptitude)
- Awarding of the scholarship
  - › by scholarship contract (e.g. 'scholarship confirmation' or 'declaration of acceptance')
  - › Delivery of a scholarship certificate (here: naming the DAAD, the funding bodies, specific description of the scholarship services and their amount, including residence and/or mobility scholarship)

**FORM  
TEMPLATES**

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- Project description
- Endorsement by the university management
- Substantive report

**IMPORTANT  
INFORMATION**

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Mobility information for those with a disability or chronic illness

**CONTACT**

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